THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No:

7,117,049 B2

Issued:

October 3, 2006

Name of Patentee:

Horn et al.

Title of Invention:

Industrial Controller Based on Distributable Technology Objects

Serial No.:

09/896,776

Examiner:

Thomas Pham

Art Unit:

2121

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to: Commissioner for Patents,

P. O. Box 1450, Alexandria, VA 22313-1450.

Andrew Fessak

Agent Name

PTO Reg. No.

Signature

Date of Signature

Certificates of Correction Branch Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT FOR PTO MISTAKE [37 C.F.R. §1.322(a)]

- 1. It is noted that printing errors appear in the referenced patent which are attributable to the Office.
- 2. The location where the error(s) is/are shown correctly in the application file is:

Certificate

OCT 1 9 2006

of Correction

| Column and Line Number of Issued Patent | Location in Application File Where the Error is Shown Correctly |
|---|--|
| Title page, Assignee at INID Code (73) Assignee name should read: "Siemens Aktiengesellschaft". | Form PTOL-85, Issue Fee Transmittal, filed Aug. 24, 2006 |
| Title page, References Cited, at INID Code (56) Under "Other Publications", add: "Object-Oriented Software Components and Containers, Process/Industrial Instruments and Controls Handbook, pp. 3.24-3.25, 1999." | Applicants' Form PTO/SB/08B initialed by the Examiner on Apr. 9, 2004. |

- 3. Attached is a copy of Form PTO/SB/44 (also Form PTO-1050).
- 4. Please send the Certificate to the undersigned Agent.
- 5. Patentees request that the issuance of a Certificate of Correction be expedited in accordance with the PTO's policy for expediting issuance of Certificates of Correction, as outlined in the PTO notice, issued August 21, 2002 entitled "Expedited Issuance of Certificates of Correction when the Error is Attributable to the United States Patent and Trademark Office". In support of the requested correction, Patentees are attaching copies of the relevant pages from the application file with this Request.
- 6. No fee should be due in connection with this communication. However, if a fee is deemed to be due, the Commissioner is authorized to charge such fee to Deposit Account No. 23-703.

Dated: 0cf. 13, 2006

Respectfully submitted,

Andrew Fessak Reg. No. 48,528

Customer No. 007470

Direct Line: (212) 819-8437

Enclosures

Form PTOL-85, Issue Fee Transmittal, filed Aug. 24, 2006 Applicants' Form PTO/SB/08B initialed by the Examiner on Apr. 9, 2004.

OCT 1 9 2006

ART B - FEE(S) TRANSMITTAL

this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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| ScottaT.\Weingaemtner | (Depositor's name |
|-----------------------|-------------------|
| Maturesher | (Signature |
| August 24, 2006 | (Date |

| | | | | · · · · · · · · · · · · · · · · · · · |
|-----------------|-------------|----------------------|---------------------|---------------------------------------|
| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| 09/896,776 | 06/29/2001 | Wolfgang Horn | 00 P 14945 US | 8880 |

TITLE OF INVENTION: INDUSTRIAL CONTROLLER BASED ON DISTRIBUTABLE TECHNOLOGY OBJECTS

| APPLN. TYPE | SMALL ENTITY | ISSUE F | EE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|--|---|--|---|--|---|--|
| nonprovisional | NO | \$1400 |) | \$300 | \$1700 | 08/30/2006 |
| EXAM | IINER | ART UN | IТ | CLASS-SUBCLASS | | |
| PHAM, TI | HOMAS K | 2121 | | 700-086000 | | |
| "Fee Address" indicat PTO/SB/47; Rev 03-02 (Number is required. 3. ASSIGNEE NAME AND PLEASE NOTE: Unless recordation as set forth in (A) NAME OF ASSIGN | lence address (or Change of 122) attached. ion (or "Fee Address" Indicator more recent) attached. Use RESIDENCE DATA TO B an assignee is identified be 137 CFR 3.11 Completion of EE LENGESELLSCHAFT | Correspondence Ation form of a Customer E PRINTED ON Tolow, no assignee of this form is NOT | (1) the na or agents (2) the na registered 2 registered listed, no of the PATEN data will app F a substitute (B) RESIDE MUN | pear on the patent. If an assignor for filing an assignment. ENCE: (CITY and STATE OR C | member a 2 2 3 3 See is identified below, the OUNTRY) | & Case LLP document has been filed for |
| Advance Order - # of 5. Change in Entity Status | mall entity discount permitte Copies 4 (four) (from status indicated above | d) | Payment The Direct Deposit A | in the amount of the fee(s) is end by credit card. Form PTO-2038 ctor is hereby authorized by char Account Number 23-1703 | is attached. ge the Andred ELICI E (enclose an ex | |
| | MALL ENTITY status. See as requested to apply the Issu ublication Fee (if required) words of the United States Pate | | b. Application Fee (if an I from anyone Office. | cant is no longer claiming SMAL ny) or to re-apply any previously e other than the applicant; a regis | L ENTITY status. See 37 (paid issue fee to the applicatered attorney or agent; or | CFR 1.27(g)(2). ation identified above. the assignee or other party in |
| Authorized Signature | Scott T. Wein | ghertner | | Date Aug | gust 24, 2006 o. 37,756 | |

Inis collection of information is required by 37 CFR 1.31 l. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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| Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | Complete if Known | | |
|--|-----------------------------------|--------------------|------------------------|----------------------------|
| | | Application Number | 09/896,776 | |
| | | Filing Date | June 29, 2001 | |
| | (Use as many sheets as necessary) | | First Named Inventor | Wolfgang Horn |
| | | Art Unit | 2121 | |
| | | Examiner Name | Thomas K. Pham | |
| Sheet | 2 | of 2 | Attorney Docket Number | 2000P14945US//1140668-0025 |

| | | NON PATENT LITERATURE DOCUMENTS | |
|----------------------|--------------|---|------------------|
| Examiner Initials | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² . |
| TP | | Object-Oriented Software Components and Containers, Process/Industrial Instruments and Controls Handbook, pp. 3.24-3.25, 1999. | X |
| TP | | Controllers, Process/Industrial Instruments and Controls Handbook, pp. 3.43-3.45, 1999 | X |
| TP | | Function Blocks Defined by Foundation Fieldbus Specification, Process/Industrial Instruments and Controls Handbook, p. 11.23, 1999 | X |
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| Examiner Signature | Theham | Date Considered | 4/9/04 |
|-----------------------|--------|--------------------|--------|
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'Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO.

: 7,117,049 B2

APPLICATION NO.: 09/896,776

ISSUE DATE:

: October 3, 2006

INVENTOR(S)

: Horn et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title page, Assignee at INID Code (73):

Assignee name should read: "Siemens Aktiengesellschaft"

Title page, References Cited, at INID Code (56)

Under "Other Publications", add:

"Object-Oriented Software Components and Containers, Process/Industrial Instruments and Controls Handbook, pp. 3.24-3.25, 1999."

MAILING ADDRESS OF SENDER (Please do not use customer number below): Andrew Fessak White & Case LLP 1155 Avenue of the Americas New York, NY 10036

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